

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

BATES, Daniel Louis, et al.

Serial Number:

10/786,777

Examiner:

XU, Kevin K.

Filed:

Nov. 18, 2004

Art Unit:

2628

For:

System and Method for Tracking an Object in a Video and Linking

Information Thereto

Commissioner for Patents

P.O. Box 1450 a

Alexandria, VA 22313-1450

CERTIFICATE OF FIRST CLASS MAILING

Dear Sir or Madam:

Enclosed herewith is the following:

- 1. Submission of Prior Art and Protest Under 37 CFR 1.291
- 2. PTO 1449 Form listing WO 97/12342; and
- 3. Return Post Card.

Certificate of Transmission:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date: January 2 9 2007

Respectfully submitted, LAW OFFICES OF DAVID L. HOFFMAN

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

BATES, Daniel Louis, et al.

Serial Number:

10/786777

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Art Unit: 2628

For:

System and method for tracking an object in a video and

linking information thereto

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBMISSION OF PRIOR ART AND PROTEST UNDER 37 CFR 1.291

Dear Sir or Madam:

This is a Submission of Prior Art and Protest to the above identified application. Submitted herewith are the following:

Listing of the references cited herein; and

Copy of the references relied upon herein.

This protest meets the requirements of 37 CFR 1.291:

- i) it is submitted in writing;
- specifically identifies the application to which the protest is directed by application number (serial number) and filing date;
- iii) includes a listing of all patents, publications, or other information relied on;
- iv) contains a concise explanation of the relevance of each listed item; and

Application No. 10/786777

- v) is accompanied by a copy of each patent, publication, or other document relied on.
- vi) In addition, the protest and accompanying papers reflect that a copy of the same has been served upon the applicant's attorney or agent of record.

Lastly, according to the MPEP 1901.06, the requirements of 37 CFR 1.291 are not intended to be interpreted overly technically and the next office action on the merits must address the points and arguments in the protest:

"It is not intended that the examiner be overly technical in construing 37 CFR 1.291c and refuse consideration of a protest because it does not include all of the contents enumerated by 37 CFR 1.291c. The examiner should consider the protest to the extent it is helpful even though one or more of the listed items is omitted."

"In view of the value of written protests, the examiner must give careful consideration to the points and arguments made on behalf of the protestor. Any Office action by the examiner treating the merits of a timely submitted protest complying with 37 CFR 1.291c must specifically consider and make evident by detailed reasoning the examiner's position as to the major arguments and points raised by the protestor."

It is important that the protest be considered to avoid the substantial difficulties and litigation that can result from issuance of a patent with invalid claims.

vii) This protest is the first protest submitted in the application by the real party in interest.

CONCISE DESCRIPTION OF RELEVANCE OF THE NEW REFERENCE(S)

The subject patent application concerns a system that allows a user, who is viewing a primary video, to click on an object in a frame of the primary video, and link to a secondary video or other content. The purported improvement is that the data to make the object "hot," is contained in a separate file from the file in which the primary video data is contained, e.g., to alleviate the need to re-record the primary video with the linking data in it. However, this is clearly disclosed already in PCT Published Application No. WO 97/12342.

Application No. 10/786777 PROTEST

PCT Published Application No. WO 97/12342 (the '342 reference) discloses the following:

an image processing system that processes video content or media content (by playing a digital video file called "frame data" accessed by server 30 (Fig. 3 and p. 9, line 38 to p. 10, line 2);

and the system links pixel objects (e.g., objects A and B in Fig. 2 and p. 8, lines 12-14 and thereafter) that are in the video to further media content (using an IDM "interactive digital media" program) (Figs. 2 and 3),

where the data, frame number Fi + n and object location within the frame (all called "N data", as shown in Figs. 1 and 2, and p. 7, lines 27-31, and p. 8, lines 22-39) that defines the object to be made "hot" is stored in a separate file from the video content ("frame data") (e.g., Figs. 3 and 4 and p. 8, lines 22-39), and

where there are:

a video capture system for capturing video frames to be viewed (e.g., console processor 40, video processor 48 and display out device 49 in Fig. 4, and/or video display device 34 of Fig. 3);

a user interface for the user to select pixels (e.g., pointer detection circuit 44 and/or remote control 36 of Figs. 4 and 3); and

a pixel object tracking system including a processor to automatically track pixel objects in other frames (see discussion at pages 13-15 under the heading "Authoring and Mapping of "Hot Spots" As N Data").

The N data (frame/object location) and the actual link data (hyperlinking data) may be stored in the same file (separate from the video content) or the N data and link data can be in the same file. (P. 17, lines 18-23)

Respectfully submitted,

LAW OFFICES OF DAVID L. HOFFMAN

David L. Hoffman,

Reg. No. 32,469

Encls.

Date: January 24, 2007

Application No. 10/786777

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence has been sent via facsimile to (571) 273-8300 addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on January 24, 2007

David L. Hoffman, Reg. No. 32,469

Date: January 24, 2007

PROOF OF SERVICE

I hereby certify that I served a copy of the foregoing document on Applicant's attorney of record in the subject application pursuant to 37 CFR 1.291 by putting a copy of the foregoing document entitled SUBMISSION OF PRIOR ART AND PROTEST UNDER 37 CFR 1.291, in an official United States Postal Service repository on the date set forth below and addressed as follows:

Patent Administrator Katten Muchin Rosenman LLP Suite 1600 525 West Monroe Street Chicago, IL 60661-3693

on January 24, 2007

David L. Hoffman, Reg. No. 32,469

Date: January 2, 2007

PTO/SB/08A (09-06)
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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/786777			
Filing Date	Nov. 18, 2004			
First Named Inventor	BATES, Daniel Louis			
Art Unit	2628			
Examiner Name	XU, Kevin K.			
Attorney Docket Number	05_10027			

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number-Kind Code ² (F known)			Figures Appear
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ "Kind Code ³ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Т6
		WO 97/12342	03-04-1997	PCT		

Examiner	,	Date	
Signature	•	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nicind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.